



Analysis of natural spoken phrases with recurrent neural networks

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1. Goals

- ▶ Analysis of natural spoken phrases and determining the meaning without dependencies to the formulation.
- ▶ Algorithmic generation of appropriate learn- and validation sets with generative grammar.




2. Specification of phrase-meaning

- ▶ Allocation of so-called “semantic roles” for selected phrase parts
 - Max (Agent) loves (Verb) Lisa (Patient)
 - Lisa (Patient) is loved (Verb) by Max (Agent)
- ▶ Semantic roles are not grammatical phrase-parts !
 - Remember: “Subject”, “Predicate”, “Object”,...
- ▶ Allocation of semantic roles keep the same, although a changed phrase formulation.
- ▶ Semantic roles can be defined at one's own descretion

Word	sem. Role
Max	Agent
loves	Verb
Lisa	Patient



3. Ambiguity

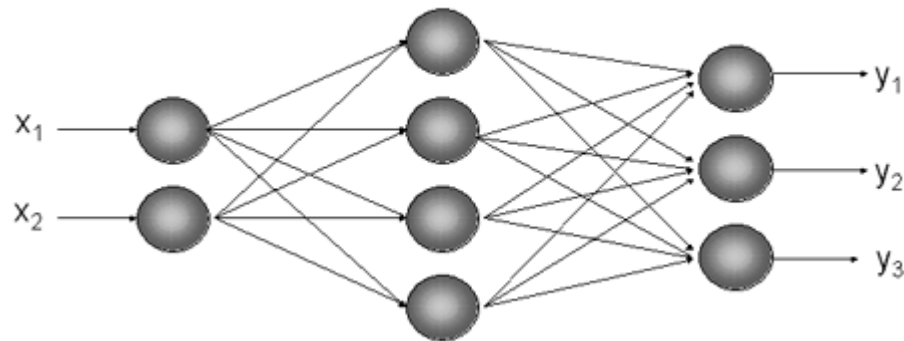
- ▶ Problem: Too often natural language is formulated ambiguous.
- ▶ Example: “Time flies like an arrow”
 - Time flies as fast as arrows flying away.
 - To time flies like an arrow is doing that.
 - To time flies that look like arrows.
 - “Timeflies” () like (to care for) arrows.
- ▶ To determine the meaning of a phrase, syntactical knowledge is not enough
- ▶ We need contextual knowledge.
- ▶ Problem: A parser isn't able to store or compute the complete sense of the world !



4. Neural Network

- ▶ Idea: Use neurobiological concepts to recognize natural language.
- ▶ Teach a small amount of example phrases with correct allocated semantic roles.
- ▶ Supervised learning analog to the children's speech acquirement.
- ▶ Ability to analyse not known phrases by abstracting and generalization.
- ▶ Correct allocation of semantic roles for not known phrases.

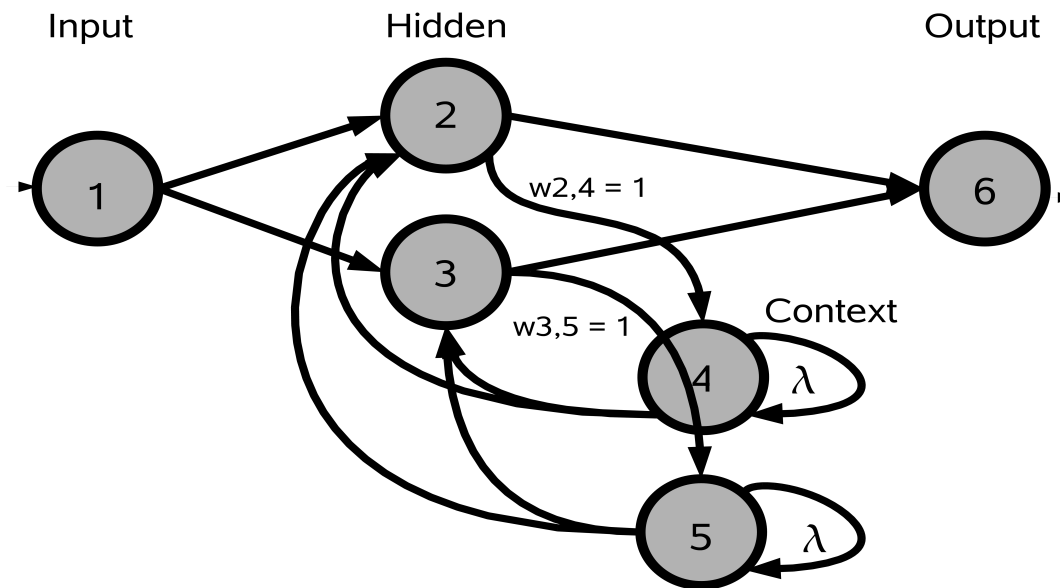
5. Feed-Forward-Net



Limitations:

- ▶ Input-Layer has a fixed size (amount of input neurons).
- ▶ Cannot accept phrases of different length (word count).
- ▶ It Has no “internal memory”, so we cannot propagate the phrases word by word.
- ▶ It has no similarities to the human language understanding.

6. Elman-Net



- ▶ “Internal” memory is enabled by context neurons (Nr. 4 and 5)
 - stores the output of the hidden neurons of the timestep before.
 - depends on the memory-factor $\lambda \in [0, 1]$.
- ▶ Is able to determine chronological dependencies in the input sequence.

Idea:

- ▶ Phrase is propagated sequentially (word by word), also during training.
- ▶ Output of the words with its allocated semantic role(s).



7. Learning set / Validation set

- ▶ Definition of a generative grammar to produce a large set for learning and validating (example phrases)
 - Non-terminals are substituted with the concrete word-assignment
- ▶ It produces a large language-amount (Example: $12 \times 3 \times 3 \times 3 \times 3 \times 3 = 2916$ Phrases)
- ▶ Only a small fraction of this amount has to be put in the learn- and validation set. Learndensity $\rho \in [0, 1]$
 - Neural-Network has to generalize. Only a small amount of learning phrases must be enough.
- ▶ $Validationset \subseteq Total\ Amount \setminus Learningset$

8. Encoding the Net-Input

► Example: Active phrase “**Max**(Agent) loves (Verb) **Lisa** (Patient)”

■ Learn pattern

AND

■ Word- and Rolebook

	Timeindex		t ₀	t ₁	t ₂
Input	Word		Max	loves	Lisa
Output	Word		Max	loves	Lisa
(Ref-Value)	sem. Role		Agent	Verb	Patient

Word	Code
Max	001
loves	010
Lisa	100

Role	Code
Agent	001
Patient	010
Verb	100

► Input and Output for the neural net

(Timeindex)

Input (Word)	0	0	1			
Output (Word+Role)	0	0	1	0	0	1
Input (Word)	0	1	0			
Output (Word+Role)	0	1	0	1	0	0
Input (Word)	1	0	0			
Output (Word+Role)	1	0	0	0	1	0

t_0

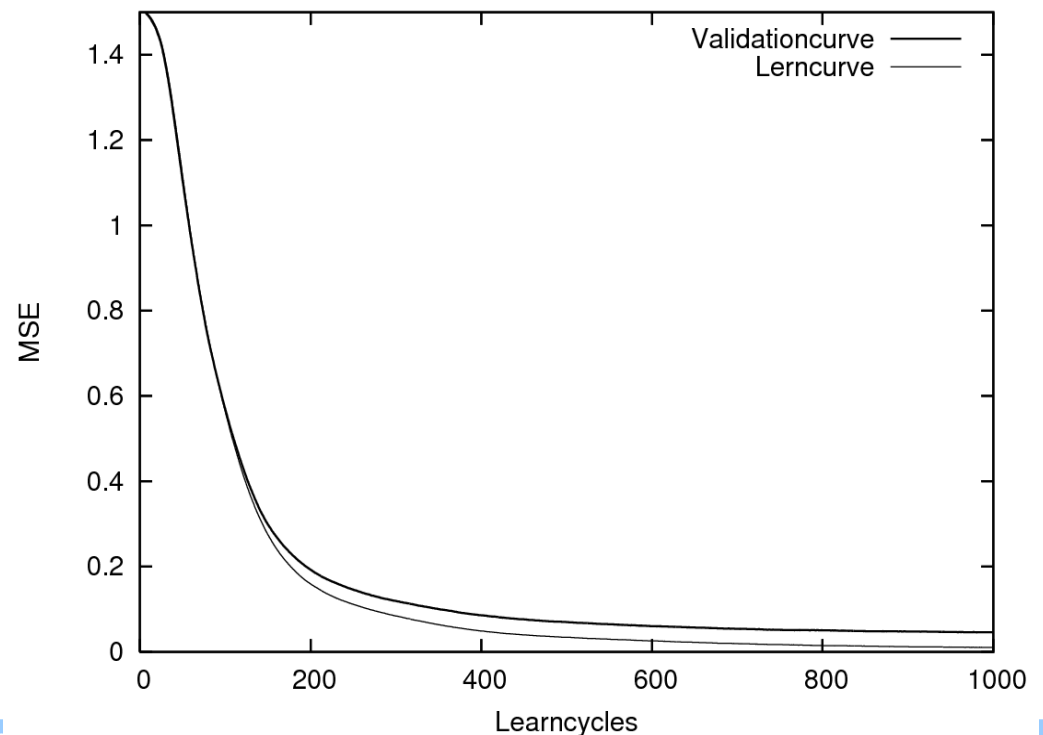
t_1

t_2

9. Learning-process for active- and passivephrases

Example active- and passivephrases

- ▶ Total amount of 7488 phrases.
- ▶ Learndensity $\rho = 0.01$ results 75 phrases in the learning set and 64 phrases in the validation set (1% of the total phrase amount).
- ▶ Memoryfactor $\lambda = 0.2$, Learningrate $\eta = 0.01$, Cycles $n = 1000$.
- ▶ Elman-Net
 - 2 hidden layer
 - 50 neurons per layer





10. Practical test for active and passive phrases

► Test for all 64 Phrases of the validation set

- It is essential: *Validationpattern* \neq *Learningset*.

semantic role	Ref-Allocations	Actual-Allocations	%
Agent	64	58	90.6 %
Patient	64	60	93.8 %
Verb	64	64	100.0 %
Place	64	64	100.0 %
Time	64	64	100.0 %
Total	320	310	96.9 %

- Variant role-allocations were learned and abstracted “very well” (> 90%)
- Invariant role-allocations were learned “perfect”, because special words only appear with a special semantic role (yesterday, today, tomorrow).



11. Learning-process for ambiguous phrases

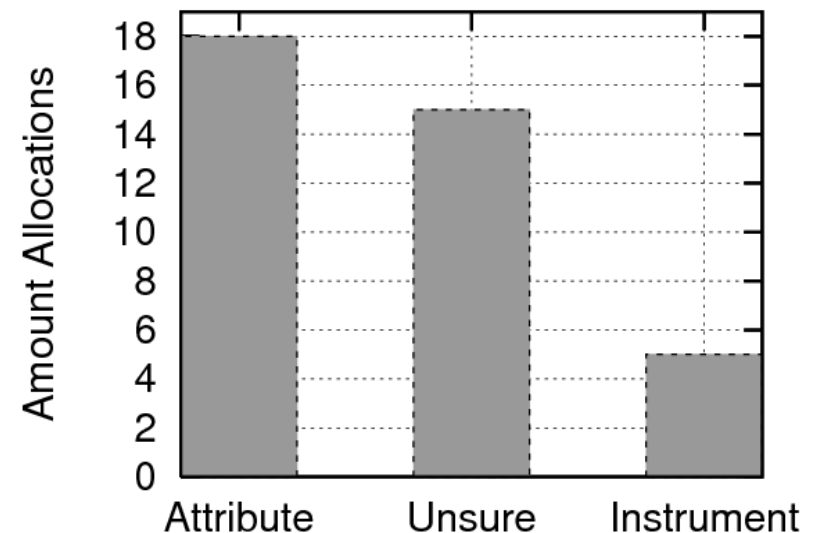
- ▶ Motivation: Determining the semantic role for the last phrase part.
- ▶ We learn only phrases of the following kind
 - AGENT enchains PATIENT with the chain (instrument).
 - AGENT enchains PATIENT with the binoculars (attribute).
 - AGENT observes PATIENT with the chain (attribute).
 - AGENT observes PATIENT with the binoculars (instrument).and
 - AGENT looks at PATIENT with the binoculars (instrument).
- ▶ Interesting question: How acts the neural net with the not learned phrase
 - AGENT looks at PATIENT with the chain (???)

12. Practical test for ambiguous phrases

- ▶ Test only with phrases of the following kind (37 phrases)
 - AGENT looks at PATIENT with the chain. (not learned)
- ▶ What semantic role is allocated to the phrase part “with the chain” ?
(instrument or attribute ?)
- ▶ Result: Attribute: 18 Phrases, Unsure: 14 Phrases, Instrument: 5 Phrases

Conclusion:

- ▶ The net has learned the alternating relation between instrument and attribute.
- ▶ The words “look at” are never learned in relation with attribute !
- ▶ Special words now behave like operands.





12. Practical test for ambiguous phrases

► Demonstrative interpretation:

► IF

- Max enchains Lisa with the chain ==> (instrument).
- Max enchains Lisa with the binoculars ==> (attribute).
- Max observes Lisa with the chain ==> (attribute).
- Max observes Lisa with the binoculars ==> (instrument).



12. Practical test for ambiguous phrases

► Demonstrative interpretation:

► **IF**

- Max enchains Lisa with the chain ==> (instrument).
- Max enchains Lisa with the binoculars ==> (attribute).
- Max observes Lisa with the chain ==> (attribute).
- Max observes Lisa with the binoculars ==> (instrument).

AND

- Max **X** Lisa with the binoculars ==> (instrument)



12. Practical test for ambiguous phrases

► Demonstrative interpretation:

► **IF**

- Max enchains Lisa with the chain \Rightarrow (instrument).
- Max enchains Lisa with the binoculars \Rightarrow (attribute).
- Max observes Lisa with the chain \Rightarrow (attribute).
- Max observes Lisa with the binoculars \Rightarrow (instrument).

AND

- Max **X** Lisa with the binoculars \Rightarrow (instrument)

► **THEN**

- Max **X** Lisa with the chain \Rightarrow (attribute)



End

Thank you !